

Double negation, excluded middle and accessibility in dynamic semantics

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- In the simplest cases, this is a welcome result. However, the fact that this 'test-making' is irreversible has some unwelcome consequences.

In this paper, I outline a way of making inaccessible indefinites accessible again, inspired by intuitionistic logic.

Puzzles of accessibility

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Double negation and excluded middle

Outline

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Discussion

Puzzles of accessibility

$$\llbracket Pt_1 \dots t_n \rrbracket_M^f = \{g \mid f = g \ \& \ \langle \llbracket t_1 \rrbracket_M^g, \dots, \llbracket t_n \rrbracket_M^g \rangle \in \mathcal{I}(P)\}$$

$$\llbracket t_1 = t_2 \rrbracket_M^f = \{g \mid f = g \ \& \ \llbracket t_1 \rrbracket_M^g = \llbracket t_2 \rrbracket_M^g\}$$

$$\llbracket \neg \phi \rrbracket_M^f = \{g \mid f = g \ \& \ \llbracket \phi \rrbracket_M^g = \emptyset\}$$

$$\llbracket \phi \wedge \psi \rrbracket_M^f = \{h \mid \text{there's a } g : g \in \llbracket \phi \rrbracket_M^f \ \& \ h \in \llbracket \psi \rrbracket_M^g\}$$

$$\llbracket \phi \vee \psi \rrbracket_M^f = \{g \mid f = g \ \& \ \llbracket \phi \rrbracket_M^g \cup \llbracket \psi \rrbracket_M^g \neq \emptyset\}$$

$$\llbracket \phi \rightarrow \psi \rrbracket_M^f = \{g \mid f = g \ \& \ \llbracket \phi \rrbracket_M^g \subseteq \{h \mid \llbracket \psi \rrbracket_M^h \neq \emptyset\}\}$$

$$\llbracket \exists x \phi \rrbracket_M^f = \{h \mid \text{there's a } g : f[x]g \ \& \ h \in \llbracket \phi \rrbracket_M^g\}$$

$$\llbracket \forall x \phi \rrbracket_M^f = \{g \mid f = g \ \& \ \{h \mid g[x]h\} \subseteq \{h \mid \llbracket \phi \rrbracket_M^h \neq \emptyset\}\}$$

Cross-sentential anaphora

(1) John owns a car. It's parked in a weird place.

(2) $\exists x(Cx \wedge Ojx) \wedge Px$

$$\begin{aligned}\llbracket(2)\rrbracket_M^f &= \left\{ h \mid \text{there's a } g : g \in \llbracket\exists x(Cx \wedge Ojx)\rrbracket_M^f \text{ \& } h \in \llbracket Px\rrbracket_M^g \right\} \\ &= \{g \mid f[x]g \text{ \& } g(x) \in \mathcal{I}(C) \text{ \& } \langle \mathcal{I}(j), g(x) \rangle \in \mathcal{I}(O) \text{ \& } g(x) \in \mathcal{I}(P)\}\end{aligned}$$

(3) John doesn't own a car. It's parked in a weird place.

(4) $\neg \exists x(Cx \wedge Ojx) \wedge Px$

$$\begin{aligned}\llbracket (4) \rrbracket_M^f &= \left\{ h \mid \text{there's a } g : g \in \llbracket \neg \exists x(Cx \wedge Ojx) \rrbracket_M^f \ \& \ h \in \llbracket Px \rrbracket_M^g \right\} \\ &= \left\{ g \mid f = g \ \& \ \llbracket \exists x(Cx \wedge Ojx) \rrbracket_M^g = \emptyset \ \& \ g(x) \in \mathcal{I}(P) \right\}\end{aligned}$$

Negation is not an involution

[T]he law of double negation will not hold unconditionally. Consider a formula ϕ that is not a test. Negating ϕ results in the test $\neg\phi$, and a second negation, which gives $\neg\neg\phi$, does not reverse this effect [...] Hence, double negation is not in general eliminable.

(Groenendijk & Stokhof 1991: 62)

Double negation

(5) It's not true that John doesn't own a car. It's (just) parked in a weird place.

(6) $\neg\neg\exists x(Cx \wedge Ojx) \wedge Px$

$$\begin{aligned}\llbracket(6)\rrbracket_M^f &= \left\{ h \mid \text{there's a } g : g \in \llbracket\neg\neg\exists x(Cx \wedge Ojx)\rrbracket_M^f \ \& \ h \in \llbracket Px\rrbracket_M^g \right\} \\ &= \left\{ g \mid f = g \ \& \ \llbracket\exists x(Cx \wedge Ojx)\rrbracket_M^g \neq \emptyset \ \& \ g(x) \in \mathcal{I}(P) \right\}\end{aligned}$$

Disjunction

(7) Either John doesn't own a car, or it's parked in a weird place.

$$(8) \quad \neg \exists x (Cx \wedge Ojx) \vee Px$$

$$\begin{aligned} \llbracket (8) \rrbracket_M^f &= \left\{ g \mid f = g \ \& \ \left\{ h \mid g = h \ \& \ \llbracket \exists x (Cx \wedge Ojx) \rrbracket_M^h = \emptyset \right\} \neq \emptyset \right. \\ &\quad \left. \cup \{ h \mid g = h \ \& \ h(x) \in \mathcal{I}(P) \} \right\} \\ &= \begin{cases} \{f\} & \text{if } \llbracket \exists x (Cx \wedge Ojx) \rrbracket_M^f = \emptyset \text{ or } f(x) \in \mathcal{I}(P) \\ \emptyset & \text{otherwise} \end{cases} \end{aligned}$$

Why think these issues are related?

Note that in PL, (8) is equivalent to both (9) and (10).

$$(9) \quad \neg \exists x(Cx \wedge Ojx) \vee (\exists x(Cx \wedge Ojx) \wedge Px)$$

$$(10) \quad \neg \exists x(Cx \wedge Ojx) \vee (\neg \neg \exists x(Cx \wedge Ojx) \wedge Px)$$

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- In DPL (8) is equivalent to (10) but not (9); and (9) *would* capture the intended dependency when interpreted in DPL.
- So, apparently, we again have a situation where the PL equivalence based on double negation would be desirable.

Uniqueness

However, it seems that we don't want ϕ to be *exactly* equivalent to $\neg\neg\phi$.

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(5) It's not true that John doesn't own a car. It's (just) parked in a weird place.

(11) ??It's not true that John doesn't own a shirt. It's in the wardrobe.

(7) Either John doesn't own a car, or it's parked in a weird place.

(12) ??Either John doesn't own a shirt, or it's in the wardrobe.

These examples seem to carry the implication that, if John owns a car/shirt, then he owns exactly one.

More contrasts

- (13) John owns a car. It's parked in a weird place. He owns another one which is in the garage.
- (14) ??It's not true that John doesn't own a car. It's just parked in a weird place. He owns another one which is in the garage.
- (15) ??Either John doesn't own a car, or it's parked in a weird place and he owns another one which is in the garage.

Uniqueness?

Matt Mandelkern (p.c.) has expressed doubts about uniqueness implications, on the basis of examples like (16).

- (16) ?Either Sue didn't have a drink last night, or she had a second drink right after it.

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(16) ?Either Sue didn't have a drink last night, or she had a second drink right after it.

- Personally I find this example strange too, but admittedly have an interest in doing so.
- In what follows I'll present two accounts, with and without uniqueness implications.

Previous accounts/suggestions

- Decompose negation (Groenendijk & Stokhof 1990, Rothschild 2017)

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Neither has much to say about uniqueness implications.

Double negation and excluded middle

- The non-equivalence of ϕ and $\neg\neg\phi$ in DPL is reminiscent of the situation in intuitionistic logic (IL).

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- The parallel is by no means exact, since in IL this non-equivalence can be expressed as $\phi \not\vdash \neg\neg\phi$, whereas in DPL it can't really be brought out directly in terms of entailment or derivability.

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- The parallel is by no means exact, since in IL this non-equivalence can be expressed as $\phi \not\vdash \neg\neg\phi$, whereas in DPL it can't really be brought out directly in terms of entailment or derivability.
- Nevertheless, it's worth looking at what one needs to add to IL in order to get the equivalence back.

Intuitionistically unacceptable reasoning

Famously, adding any of (17)–(19) to IL gets you classical logic.

(17) $\neg\neg\phi \vdash \phi$ (double negation elimination)

(18)
$$\frac{\Gamma, \neg\phi \vdash \perp}{\Gamma \vdash \phi}$$
 (reductio ad absurdum)

(19) $\vdash \phi \vee \neg\phi$ (excluded middle)

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- Question: could there be a way to achieve (something like) the double negation property for dynamic semantics by adding (something like) excluded middle?
- And could that help to resolve the issues we've identified with pronoun accessibility?

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- Question: could there be a way to achieve (something like) the double negation property for dynamic semantics by adding (something like) excluded middle?
- And could that help to resolve the issues we've identified with pronoun accessibility?
- Answer: yes, but it doesn't involve the standard DPL disjunction.

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- Like \vee , \cup is internally static, but unlike \vee it is externally dynamic.
- In DPL $\phi \cup \neg\phi$ is a tautology — i.e. for any M and f , $\llbracket \phi \cup \neg\phi \rrbracket_M^f \neq \emptyset$ — but there are many semantically distinct tautologies in DPL.

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- Consequently, DPL does not have the property that ϕ is equivalent to $T \wedge \phi$ for any DPL tautology T and formula ϕ .

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- Consequently, DPL does not have the property that ϕ is equivalent to $T \wedge \phi$ for any DPL tautology T and formula ϕ .
- Relevance for us: the presence of specific tautologies can make inaccessible discourse referents accessible again.

Double negation

For example, if we expand

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$$(20) \quad (\exists x(Cx \wedge Ojx) \cup \neg\exists x(Cx \wedge Ojx)) \wedge (\neg\neg\exists x(Cx \wedge Ojx) \wedge Px)$$

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we get the binding back:

$$\begin{aligned} \llbracket (20) \rrbracket_M^f &= \left\{ g \mid \begin{array}{l} g \in \llbracket \exists x(Cx \wedge Ojx) \rrbracket_M^f \cup \llbracket \neg\exists x(Cx \wedge Ojx) \rrbracket_M^f \\ \& \llbracket \exists x(Cx \wedge Ojx) \rrbracket_M^g \neq \emptyset \& g(x) \in \mathcal{I}(P) \end{array} \right\} \\ &= \left\{ g \mid g \in \llbracket \exists x(Cx \wedge Ojx) \rrbracket_M^f \& g(x) \in \mathcal{I}(P) \right\} \end{aligned}$$

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we get a bound reading:

$$\begin{aligned} \llbracket (21) \rrbracket_M^f &= \left\{ h \mid \begin{array}{l} \text{there's a } g : g \in \llbracket \exists x (Cx \wedge Ojx) \rrbracket_M^f \cup \llbracket \neg \exists x (Cx \wedge Ojx) \rrbracket_M^f \\ \& h \in \llbracket \neg \exists x (Cx \wedge Ojx) \vee Px \rrbracket_M^g \end{array} \right\} \\ &= \left\{ g \mid \begin{array}{l} (f = g \& \llbracket \exists x (Cx \wedge Ojx) \rrbracket_M^g = \emptyset) \text{ or} \\ (g \in \llbracket \exists x (Cx \wedge Ojx) \rrbracket_M^f \& g(x) \in \mathcal{I}(P)) \end{array} \right\} \end{aligned}$$

A harmless addition

Adding excluded middle with \cup doesn't cause a problem in a simple positive example:

$$\text{If } \phi \simeq \phi \wedge \phi \text{ then } (\phi \cup \neg\phi) \wedge \phi \simeq \phi$$

So:

$$(\exists x(Cx \wedge Ojx) \cup \neg\exists x(Cx \wedge Ojx)) \wedge \exists x(Cx \wedge Ojx) \simeq \exists x(Cx \wedge Ojx)$$

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Nor in a single-negation example:

$$\text{If } \phi \simeq \phi \wedge \phi \text{ then } (\phi \cup \neg\phi) \wedge \neg\phi \simeq \neg\phi$$

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$$(\exists x(Cx \wedge Ojx) \cup \neg\exists x(Cx \wedge Ojx)) \wedge \neg\exists x(Cx \wedge Ojx) \simeq \neg\exists x(Cx \wedge Ojx)$$

Two features to note

Binding is predicted to be symmetric in disjunction, i.e. (22) is predicted to be just as good as (7).

(7) Either John doesn't own a car, or it's parked in a weird place.

(22) Either it's parked in a weird place, or John doesn't own a car.

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- In either case the interpretation is 'either John doesn't own a car, or some car he owns is parked in a weird place'.
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- This 'weak' take on (7) is disputed by Krahmer & Muskens (1995), who defend a 'strong' reading.
- The distinction is somewhat moot, though, given the uniqueness effect.

Accounting for the uniqueness effect

What program disjunction does

Let's reflect on what program disjunction does in cases of an existential statement and its negation.

$$\llbracket \exists x Px \cup \neg \exists x Px \rrbracket_M^f = \begin{cases} \text{the set of } x\text{-variants of } f \text{ mapping } x \text{ to a } P, \\ \text{if there are any} \\ \{f\} \text{ otherwise} \end{cases}$$

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If we want the anaphoric dependency to be passed on only in the case of uniqueness, then the input context for our unaugmented formulae should look like this instead:

$$\begin{cases} \text{the (singleton) set of } x\text{-variants of } f \text{ mapping } x \text{ to a } P, \\ \text{if there's exactly one} \\ \{f\} \text{ otherwise} \end{cases}$$

Unique excluded middle

That effect can be achieved by the introduction of an operator $\mathbb{1}$, defined in (23).

$$(23) \quad \mathbb{1}\phi]_M^f = \begin{cases} [\phi]_M^f & \text{if } |[\phi]_M^f| = 1 \\ \{f\} & \text{otherwise} \end{cases}$$

Or, equivalently,

$$\mathbb{1}\phi]_M^f = \left\{ g \mid g \in [\phi]_M^f \ \& \ |[\phi]_M^f| = 1 \right\} \cup \left\{ g \mid f = g \ \& \ |[\phi]_M^g| \neq 1 \right\}$$

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Or, equivalently,

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- Note that $\mathbb{1}\phi$ is also a DPL tautology (for any ϕ).
- I will henceforth refer to formulae of the form $\mathbb{1}\phi$ as instances of ‘unique excluded middle’ (UEM).

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If, now, we expand

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$$(6) \quad \neg\neg\exists x(Cx \wedge Ojx) \wedge Px \quad \text{to}$$

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we get the binding **on the assumption of uniqueness**:

$$\llbracket (24) \rrbracket_M^f = \left\{ g \mid \left(\llbracket \exists x(Cx \wedge Ojx) \rrbracket_M^f = \{g\} \ \& \ g(x) \in \mathcal{I}(P) \right) \right. \\ \left. \text{or } \left(f = g \ \& \ \left| \llbracket \exists x(Cx \wedge Ojx) \rrbracket_M^f \right| > 1 \ \& \ g(x) \in \mathcal{I}(P) \right) \right\}$$

‘Either John owns exactly one car, which is parked in a weird place, or John owns more than one car and x is parked in a weird place’ (with x free).

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we get the binding **on the assumption of uniqueness**:

$$\llbracket (25) \rrbracket_M^f = \left\{ g \mid \begin{array}{l} \left(\llbracket \exists x(Cx \wedge Ojx) \rrbracket_M^f = \{g\} \ \& \ g(x) \in \mathcal{I}(P) \right) \\ \text{or } (f = g \ \& \ \llbracket \exists x(Cx \wedge Ojx) \rrbracket_M^g = \emptyset) \\ \text{or } (f = g \ \& \ \left| \llbracket \exists x(Cx \wedge Ojx) \rrbracket_M^f \right| > 1 \ \& \ g(x) \in \mathcal{I}(P)) \end{array} \right\}$$

‘Either John doesn’t own a car, or he owns exactly one car, which is parked in a weird place, or he owns more than one car and x is parked in a weird place’ (with x free).

Also a harmless addition

Adding unique excluded middle doesn't cause a problem in a simple positive example either:

$$\text{If } \phi \simeq \phi \wedge \phi \text{ then } \top \phi \wedge \phi \simeq \phi$$

So

$$\top \exists x(Cx \wedge Ojx) \wedge \exists x(Cx \wedge Ojx) \simeq \exists x(Cx \wedge Ojx)$$

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Adding unique excluded middle doesn't cause a problem in a simple positive example either:

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Composition

An obvious question

Where do the needed instances of EM/UEM come from?

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Where do the needed instances of EM/UEM come from? One thought:

- Treat them as introduced lexically by negation as a kind of projective content.

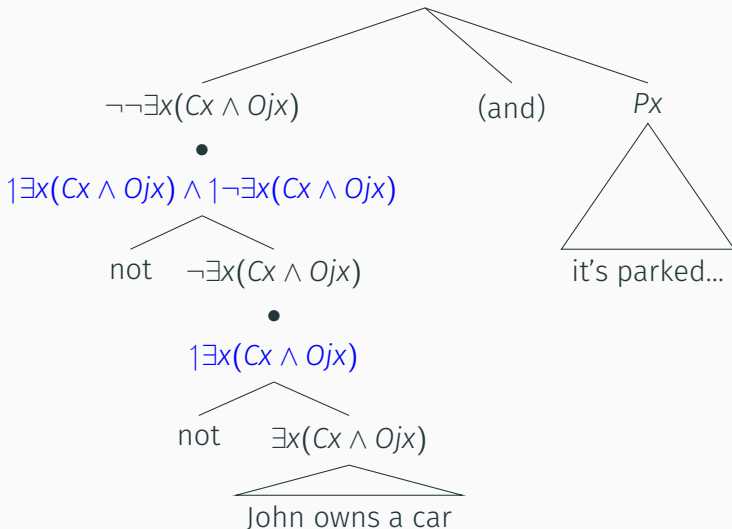
An obvious question

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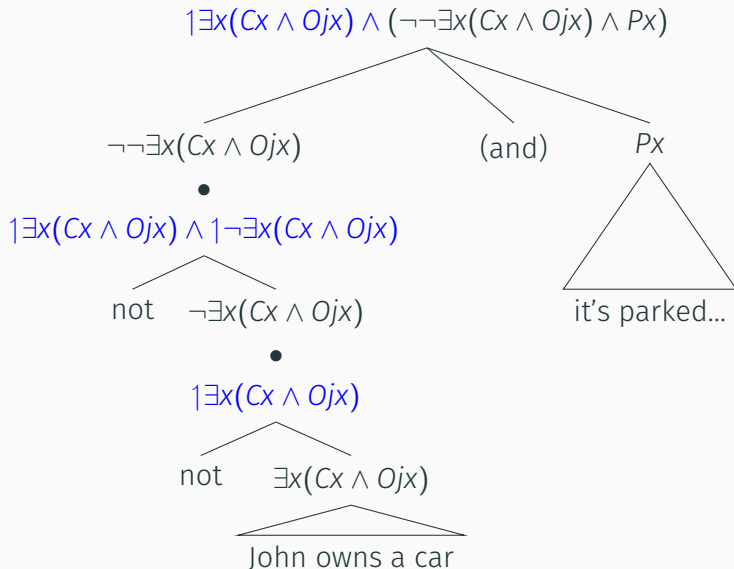
- Treat them as introduced lexically by negation as a kind of projective content.
- Doing this properly requires moving from DPL to a dynamic semantic system that permits compositionality below the level of the clause, so I'll just give a schematic treatment (assuming UEM, and with apologies to Potts (2005)).

Double negation

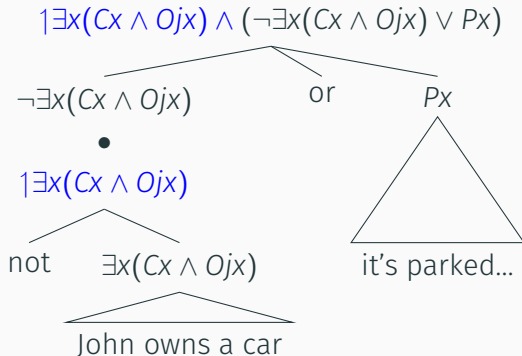
$$(\neg \neg \exists x(Cx \wedge Ojx) \wedge \neg \neg \exists x(Cx \wedge Ojx)) \wedge (\neg \neg \exists x(Cx \wedge Ojx) \wedge Px)$$



Double negation



Disjunction



Discussion

Final thoughts

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- In particular, I haven't actually made \vee internally dynamic or \neg involutive.
- On the other hand, it requires a novel compositional (or other) story of how these instances of EM/UEM are introduced.
- There's a ready account of the uniqueness effect ... if that effect is real.

Thanks!

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